

1	IN THE UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF TEXAS		
2	WACO DIVISION		
3	WSOU INVESTMENTS LLC * December 10, 2020 *		
4	VS. * CIVIL ACTION NOS. *		
5	DELL TECHNOLOGIES INC. ET AL * W-20-CV-473 thru 482, 485, 486		
6	BEFORE THE HONORABLE ALAN D ALBRIGHT		
7	TELEPHONIC SCHEDULING CONFERENCE		
8	APPEARANCES:		
9	For the Plaintiff: James L. Etheridge, Esq. Brett Aaron Mangrum, Esq.		
10	Brian Matthew Koide, Esq. Jeffrey Huang, Esq.		
11	Ryan Scott Loveless, Esq. Etheridge Law Group, PLLC 2600 E. Southlake Blvd., Suite 120-324		
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16	Shelton Coburn LLP 311 RR 620 S, Suite 205		
17	Austin, TX 78734-4775		
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20	New York, NY 10166		
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23	San Flancisco, CA 94103		
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                (December 10, 2020, 10:01 a.m.)
09:28
                DEPUTY CLERK: Court calls Waco Case 20-CV-473, 474, 475,
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           476, 477, 478, 479, 480, 481, 482, 485 and 486, WSOU
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           Investments LLC versus Dell Technologies Inc., et al for a
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           telephonic discovery hearing.
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                             If I could hear announcements from counsel,
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           please, starting with the plaintiff.
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                MR. SIEGMUND: Good morning, Your Honor. This is Mark
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           Siegmund for plaintiff WSOU Investments LLC, and with me this
           morning I have Mr. Jim Etheridge, Ryan Loveless, Mr. Brian
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           Koide, Jeff Huang and Brett Mangrum, and myself and some of the
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           other individuals I just listed will be speaking depending on
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           what the Court needs.
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                THE COURT: Okay. And for defendants?
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                MR. SHELTON: Good morning, Your Honor. This is Barry
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           Shelton of Shelton Coburn LLP. We have four client
           representatives today, Tom Brown and Ann-Marie Dinius for Dell
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           and Danielle Coleman and Daniel Lin for VMware, and from the
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           firm of Gibson, Dunn & Crutcher Brian Rosenthal who will be
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           arquing this morning for defendants, Ben Hershkowitz and Jaysen
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           Chung.
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                THE COURT:
                            Good morning all. Good morning to any client
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           representatives who have taken the time to attend and with my
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           appreciation for you doing so.
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                We will start with the issue of the defendants' concerns
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second. As those of you who appear in front of me on Zoom

know, I've got three or four iPads going at any one time during

a hearing. So it takes me a second to catch up here. I

apologize.

Okay. Let's turn next to the issue of infringing

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Okay. Let's turn next to the issue of infringing products. And I'm not sure who's going to argue this on behalf of defendants, but I'm happy to hear from whoever it is.

MR. ROSENTHAL: It's Brian Rosenthal again, Your Honor.

And if the Court will indulge me, can I just make one comment about the previous discussion just before we move on?

THE COURT: You can say whatever you'd like.

MR. ROSENTHAL: Thank you, Your Honor. The only thing I wanted to mention is we don't take issue with the quantity, the number of pages. We have very, very specific requests here.

We're not saying they didn't, you know, put in a lot of work.

We're not saying they didn't generate a lot of paper. It's just about a couple of limitations that are key limitations of these patents, and what we're looking for, to be very plain, is not just some prose where they write some stuff about how our products work. We just want to understand for these key limitations what are they actually accusing. It is the what. It's not the why. What do you identify as being the ratio?

What do you identify as being the mean cause? What do you identify as being the group identifier? So that we know now where the claim construction issues lie. That is our request.

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And to the extent that wasn't clear, I just wanted to make that clear.

So with respect to the accused products, there are sort of myriad issues with the identification of accused products in the infringement contentions. Let me just articulate what those are. For one of the patents, the first one that I talked about, the '129 patent, there's an issue which is that they identify two products, OME and OMNM, and they only allege certain claim elements for the OME product, but for the OMNM product -- OMNM product, they don't even allege that certain elements are met by that product. So we don't believe that that's a proper identification of an accused product with respect to the '129 patent.

More generally, this is the second concern that we have, for many of the patents there's just an identification of broad category of products or of an exemplary product and then it says something like products including the end switch or product including Dell Edge Gateway or something like that.

And because — there's a twin problem here. Because they haven't articulated what the actual infringement theory is, we're not in a position where we can do what you might ordinarily do in a case where you articulate an infringement theory, you articulate a representative product, and then we can tell based on that which other products have the same functionality. We can't do that because we don't know what the

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functionality is that's being accused. And then on top of
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           that, they have this very vaque "and other products" sort of
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           language. And so we would like to have from them an
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           identification of at least representative model number
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           products. This is all stuff that's available online. We know
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           that they've tested certain products because they have
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           screenshots and say they've done product testing. We think
           that we ought to have from them a specific identification of
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           model numbers. That is not to say that they are going to be
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           for all time bound to only be able to assert the claims against
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           those model numbers. If discovery yields information that
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           there's other products that have the same or similar
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           functionality, that's a different story, but at this moment in
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           time we would like to have from them some more specific
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           identification by model number of the products that they have
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           identified as allegedly infringing.
                THE COURT: Okie dokie. Mr. Siegmund, who will be
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           speaking on behalf of plaintiff?
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                MR. SIEGMUND: Jim Etheridge will be on this one, Your
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           Honor.
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                THE COURT:
                            Okay. Very good.
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                MR. ETHERIDGE: Good morning, Your Honor. I'll just give
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           you a high level view there. I think the bottom line here is
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           that, you know, discovery in a patent infringement suit
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           includes discovery that relates to the technical operation of
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the accused products that includes the identity and technical 10:40 1 operation of products reasonably similar. I believe in every 10:40 2 claim chart we've identified what we think is the infringing 10:40 3 products, but it certainly isn't a complete full list. I mean, 10:40 we are not -- if we were to say, for example, we think the L100 10:40 10:40 series infringes because it includes these elements --6 10:40 7 THE COURT: Well, let me -- Mr. Etheridge, let me 10:40 8 interrupt you. I believe I just heard counsel for the 9 defendants tell me that you have not even identified a specific 10:40 representative product as in not just a line of products but a 10:40 10 specific product. So is he correct on that? In other words, 11 10:40 you know, you can say, all Sony TVs, but Sony TVs operate 12 10:41 13 differently, and if you -- I think at a minimum you ought to 10:41 have to say this Sony TV actually has the infringing circuitry 10:41 14 10:41 15 in it so that the defendant has an idea of the specific circuitry that you're accusing. So for each of the claims that 16 10:41 you are currently asserting, have you identified a specific 17 10:41 product? 10:41 18 19 I believe we have. And even in the 10:41 MR. ETHERIDGE: Yes. example he just gave that the Dell OME, we point out in the PIC 10:41 20 10:41 21 that the Dell OME can be integrated with open management 10:41 22 network manager, which is OMNM, to view the networking 10:41 23 information. So they're clearly on notice of what we're 24 talking about, and we've named an exemplary product or an 10:41 25 exemplary means to get there. Once the discovery is produced, 10:41

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then, sure. There'll be more details. Maybe products will be excluded, additional products added, but what I don't want to see happen here, and I've seen defendants try to do this before, is by forcing you to name a particular product immediately at the beginning, they try to limit all discovery to that product only.

THE COURT: Well, let me make sure you understand. has never happened in my court successfully at least. So, you know, I have a very -- as everyone -- well, most of you who have been on this call know, I have a very expansive view towards discovery. Let me make clear that if when you come to me you can articulate to me why you need some -- if the defendant doesn't want to give it, why you are entitled to it. You're almost always going to be successful. If the defendant comes to me and says, we need this discovery from the plaintiff and they can explain why they need it, they're going to be successful. So -- but that being said -- so I don't want the plaintiffs to be concerned that the infringement contentions can be successfully used against the plaintiff for -- to deny discovery. And this is -- these are initial infringement contentions. They are initial invalidity contentions. Both are going to get modified after the Markman. I know how all this stuff works generally speaking. And so my concern at the moment where we're at procedurally is I want to make sure that the plaintiffs have -- the plaintiff has articulated with

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sufficient specificity products so that the defendants as they are preparing for the Markman and preparing to move forward after that can say that the plaintiffs must mean this in terms of the allegations of infringement because we can tell specifically how the functionality of this specific product works. And so I think it's fair for them to have that.

Now, again I'll ask you. Have you provided sufficient -have you provided, in your opinion, products with specificity
that the defendants can rely on for each claim that you have
said that you want to pursue?

MR. ETHERIDGE: Yes. I believe we've identified at least a specific product. In many instances we've identified multiple products or a line of products. And the reason I raised my concern is because in each of the columns you will see that Dell has said that, you know, WSOU's only identified the smart fabric operating system, and at a minimum they should identify the -- and the discovery should be limited to the model number. So I'm happy to go through each of our -- let each of my guys tell you what product has been accused in every one of the products, but they have all been -- they have all been named. I don't think it's necessary at this point to give a serial number or a particular model number because only Dell knows how they've configured each of those, but I think they're definitely on notice and at least the product or line of products have been named in every instance.

10:45	1	THE COURT: Well, here's what I'm going to do on this, and
10:45	2	then I'll hear from the defense counsel if they need more than
10:45	3	this, and they may. But, you know, what I'm what I want you
10:45	4	all to do is get back together after the call, have the
10:45	5	defendants go through and provide you with a specific list
10:45	6	if they haven't. I think they probably have of any claim
10:45	7	that you've asserted, any I was going to say independent.
10:45	8	It could be independent or dependent, but any individual claim
10:45	9	that they've asserted and verify that you have provided them
10:46	10	with specific products that they can identify. And if there
10:46	11	are any that if there are any claims after that meet and
10:46	12	confer that the defendants feel that you have not done an
10:46	13	adequate job on after you discuss it, they can send you a list
10:46	14	of the claim the patent and the claim numbers they think you
10:46	15	are deficient on. You can fill in a copy. Just I mean,
10:46	16	this is very short. This is like a memo. You can identify on
10:46	17	that document what you have identified as a representative
10:46	18	product. You all send me that document. I'll review it. And
10:46	19	if I think that the products that have been identified by the
10:46	20	plaintiff are insufficient, then I'll order additional I'll
10:47	21	order you to do additional work.
10:47	22	So let me go back to the defendant for a second. Beyond
10:47	23	that, what else did you want me to do?
10:47	24	MR. ROSENTHAL: Your Honor, I think that's a good
10:47	25	solution. I just wanted to respond just to the notion that

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indeed specific products have been identified for every patent.
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           We don't believe that to be the case. And just as an example,
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           it's in the joint submission. You know, the '536 patent
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           they've just identified Dell power connectivity 500 series
           which is a number of switches.
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                For the '435 patent they've identified power switch N
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           series switches which is a number of switches.
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                THE COURT: Well, let me interrupt you and say, here's the
           way I see that is if the plaintiff's position is that all of
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           those series of switches infringe that claim term, that's
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           adequate. If -- and that's why I want you guys to get back and
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           meet and confer on this since I've spoken to you, and I'm happy
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           to keep working through this with you. I'm happy to be as
           involved as you need me to be, but if the plaintiff's
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           position -- if the plaintiff has said, this series of Dell
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           products infringe, then I think it's fair for the -- for Dell
           or whichever defendant to believe that for that claim that all
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           the series does. If that's not what the plaintiff is meaning
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           to say, if they've tested a specific representative product and
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           that's the one they're sure does, well, then they can narrow it
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           down to that. So I'm just trying to get for the defendant as
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           much specificity as possible with respect to a product, a
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           specific product that the plaintiff believes infringes a
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           specific -- each specific claim. So hopefully that helps you
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           out on that.
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MR. ROSENTHAL: It does, Your Honor. That's great 10:49 1 quidance and we will work with plaintiff to try to do that. 10:49 2 And that is what we are looking for. You know, for instance, 10:49 3 10:49 there are -- with respect to all of the allegations against VMware, for all of those there's no reference to any particular 10:49 5 10:49 version of the software. If they have tested or examined all 6 10:49 7 of the versions and assert infringement of all of the versions, 10:49 8 then we'd like to know that. If they're only asserting presently infringement against one of the versions based on 10:49 9 what they've looked at, we'd like to know that. That does have 10:49 10 11 an impact on our analysis of what's actually at issue because 10:49 we're sort of -- you know, we're guessing in the dark about 10:49 12 what the infringement contention is. It's important for us to 10:49 13 at least have something to grab onto to say, okay. This is 10:49 14 10:49 15 what the product is. So with that in mind, we will proceed as 10:50 16 Your Honor ordered, and hopefully we'll be able to resolve the issue, and if not, we will send that memo in. 17 10:50 Okay. And one more thing -- but let me state 10:50 18 THE COURT: one more thing. Generally speaking, I know how the world 10:50 19 works. I know -- I've understood what you all have argued this 10:50 20 10:50 21 morning, but just so you know, my belief is that in the 10:50 22 infringement contentions I know in the real world they are, but 10:50 23 as a legal matter, the legal contentions are not part -- the 24 infringement contentions, invalidity contentions are not part 10:50 25 of the Markman process. So that -- I just want everyone to 10:50

understand that from my perspective, and it may be right or 10:50 1 wrong, but, in other words, the need for infringement 10:50 2 10:50 3 contentions or invalidity contentions to assist someone in 10:50 coming up with what they believe a Markman claim term should 10:51 5 mean, I don't think -- I disagree with that. I think I'm 10:51 supposed to be doing the claim construction as a matter of law 6 based on what the claim says and the intrinsic evidence which 10:51 7 10:51 8 is agnostic to whatever the products are that are out there for 10:51 9 the infringement contentions. So I just wanted everyone to understand that's my philosophy as we move forward. That being 10:51 10 11 said, again, I think it is absolutely fair for the defendants 10:51 to have a clear understanding through a product being 10:51 12 10:51 13 identified as to what the plaintiff's position is with respect to infringement of the claims they want to assert. So 10:51 14 10:51 15 hopefully -- I just -- I wanted that on record so you all had 10:51 16 my philosophy on how we do things if it's helpful, and I don't 17 know if it is or not. 10:51 So is there anything else -- since it was the defendants 10:51 18 who asked for this hearing, is there anything else the 10:52 19 10:52 20 defendants would like me to take up at this time? 10:52 21 MR. ROSENTHAL: Speaking for myself, Your Honor -- this is 10:52 22 Brian Rosenthal -- no, other than to mention as I said at the 10:52 23 outset of this call, many of the issues that we raised in our 24 letters, in our joint chart and in our discussion today overlap 10:52 25 substantially with some of what we believe to be fatal issues 10:52

with the allegations themselves in the complaint. We have 10:52 1 completed our briefing on the motion to dismiss and requested a 10:52 2 hearing, and we believe that if we can get a hearing at Your 10:52 3 Honor's convenience, of course, we believe that a number of 10:52 these issues will be fleshed out in significant detail and we 10:52 5 10:52 think resolved. So I just wanted to mention that there is a 6 10:52 7 great deal of overlap. 10:52 8 THE COURT: Understood. But I appreciate that. That's helpful. So what I will do -- what I will task you all to do 10:53 9 is to -- we definitely should have the hearing on that motion 10 10:53 to dismiss before the end of the year before Christmas. 11 10:53 Depending on what the Circuit does, I may be in trial for two 10:53 12 13 weeks in January or I might not. So but we should -- we should 10:53 plan on me being in trial. Just so you know my schedule, even 10:53 14 10:53 15 though you probably don't care, I've got a week of Markmans. I 10:53 16 think we have 15 Markmans set the first week of January, and then I have two jury trials that will take up the rest of the 17 10:53 month, but I want to get this done before then. So if the 10:53 18 19 briefing is finished, then work with my clerks to get a Zoom 10:53 20 call or -- I don't care. I think my court reporter prefers 10:53 10:53 21 Zoom. She can hear better. But let's set up a Zoom or 10:54 22 telephonic conference before we all get away for Christmas, and 10:54 23 you just let my clerks know when that would work for you guys.

Anything else from the defendants?

MR. ROSENTHAL: No. Thank you, Your Honor.

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THE COURT: Anything else, Mr. Siegmund, for the
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           plaintiff?
                MR. SIEGMUND: No, sir. That's all. Thank you very much
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                THE COURT: Okay. And by the way, if I haven't said this
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           before, as I try to make clear, I think it's my role to stay
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           involved in these kind of disputes. I get angry only -- I
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           don't know that I get angry about anything, but I'm unhappy
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           only if people aren't continuing to involve me and letting
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           these things fester. So I very much appreciate you all
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           bringing this to my attention quickly. We'll get these issues
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           resolved quickly, and we'll get the motion to dismiss resolved
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           by the end of the year.
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                But it's unlikely I will see most or any of you before the
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           end of the year, given our current situation, in person. So
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           you all have a wonderful month and Christmas if I don't talk to
           you again before our hearing. So have a good day. Take care.
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                 (Hearing adjourned at 10:55 a.m.)
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    UNITED STATES DISTRICT COURT )
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    WESTERN DISTRICT OF TEXAS
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         I, Kristie M. Davis, Official Court Reporter for the
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 5
    United States District Court, Western District of Texas, do
    certify that the foregoing is a correct transcript from the
 6
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    record of proceedings in the above-entitled matter.
 8
         I certify that the transcript fees and format comply with
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    those prescribed by the Court and Judicial Conference of the
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    United States.
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